

(19) World Intellectual Property Organization
International Bureau(43) International Publication Date
22 August 2002 (22.08.2002)

PCT

(10) International Publication Number
WO 02/065796 A1(51) International Patent Classification⁷: H04Q 7/22(74) Agents: LESON, Thomas, Johannes, Alois et al.;
Tiedtke-Bühling-Kinne & Partner GbR, TBK-Patent,
Bavariaring 4, 80336 München (DE).

(21) International Application Number: PCT/EP01/01706

(22) International Filing Date: 15 February 2001 (15.02.2001)

(25) Filing Language: English

(26) Publication Language: English

(71) Applicant (for all designated States except US): NOKIA
CORPORATION [FI/13]; Keilahäldentie 4, FIN-02150
Espoo (FI).

(72) Inventors; and

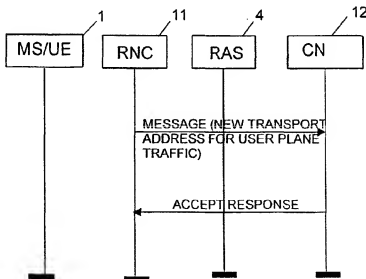
(75) Inventors/Applicants (for US only): LONGONI, Fabio
[FI/13]; c/o Nokia Networks OY, Keilahäldentie 4, FIN-
02150 Espoo (FI). LÄNSISALMI, Ate [FI/13]; c/o Nokia
Networks OY, Keilahäldentie 4, FIN-02150 Espoo (FI).(81) Designated States (national): AE, AG, AL, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ,
DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR,
HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,
NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM,
TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.(84) Designated States (regional): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,
IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,
CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

[Continued on next page]

(54) Title: METHOD AND SYSTEM FOR MANAGING A CONNECTION OF A MOBILE ELEMENT TO A NETWORK



(57) Abstract: The invention relates to a method and system for managing a connection of a mobile element to a network, the mobile element having at least one user plane connection for transmitting user traffic from and/or to the mobile element, and at least one control plane connection for signaling connection of the mobile element to a radio access network. When a relocation of the connection(s) of the mobile element is to be performed, only the user plane connection(s) is relocated, and the control plane connection(s) is maintained unchanged. The connections are Iu interface connections, i.e. the control plane is to an Iu control plane of a radio access controlling means, and the user plane is an Iu user plane of the radio access controlling means.

WO 02/065796 A1



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

TITLE

METHOD AND SYSTEM FOR MANAGING A CONNECTION OF A
MOBILE ELEMENT TO A NETWORK

5

FIELD AND BACKGROUND OF THE INVENTION

In current third generation mobile network, the connection
10 between the Core Network (CN) and the Access Network (AN, or
Radio Access Network, RAN) is effected via Iu interface. This
applies at least for 3GPP UTRAN and GERAN RAN. The Iu
interface consists of two separate instances, Iu-CS for the
connection to the circuit switched core network domain, and
15 the Iu-PS, for the connection to the packet switched core
network domain. Both interfaces are composed by a control
plane (CP) for the signaling (defined by the RANAP signaling
protocol and the transport stack) and a user plane (UP) for
the transfer of the user data - speech and data frames
20 (defined by the Iu Frame Protocol and the transport stack).

When one Mobile Station (MS) is connected to the RAN, it has
one signaling connection existing in the Iu CP (if the MS is
connected to both the Iu-PS and Iu-CS, two signaling
25 connections exist in the Iu CP). If MS has also one or more
Radio Access Bearers (RAB = 'calls') active, it has one or
more user plane connections in Iu UP.

In the current UTRAN architecture, the Iu interface (both UP
30 and CP protocols) terminates in the Radio Network Controller
(RNC).

When the mobile element, e.g. MS or UE (User Equipment),
moves in RAN, the Iu connection that is used by the mobile
35 element possibly needs to be relocated, i.e. moved from one

RNC to another RNC. This may e.g. happen if the cell(s) used by the mobile element are not under direct control of the RNC that terminates the Iu interface connection with the core network. As an example when the UE moves from a cell handled by a first RNC to a cell handled by a second RNC, the above mentioned relocation may become necessary. This relocation is supported by a set of procedure in the RANAS protocol (RANAP, SRNC relocation), and consists in moving both the control plane and user plane connection from a 'source' or 'serving' RNC to a 'target' RNC.

In the IP based RAN Architecture (for example an IP RAN Architecture that is targeted to be used as GERAN and new, enhanced UTRAN), the user plane and control plane of the Radio Controller (RNC, and BSC) are separated in different logical and most likely physical elements. This means that the Iu control plane terminates in one network element (referred to as the RAN Access Controller or Server, RNAS) and the Iu user plane terminates in another network element (referred to as the RAN Gateway, RNGW). The relocation may thus prove to be problematic or at least uneconomical or suboptimal.

25

SUMMARY OF THE INVENTION

The present invention provides a method, system and mobile element as defined in the claims.

Generally, the invention provides a method and system for relocating the user plane of a CN-RAN connection in 3G network.

In detail, the method and/or system are adapted to manage a connection of a mobile element to a network, the mobile

element having at least one user plane connection for transmitting user traffic from and/or to the mobile element, and at least one control plane connection for signaling connection of the mobile element to a radio access network, preferably an IP-based access network. When a relocation of the connection(s) of the mobile element is to be performed, only the user plane connection(s) is relocated, and the control plane connection(s) is maintained unchanged. The connections preferably are Iu interface connections, i.e. the control plane is an Iu control plane of a radio access controlling means, and the user plane is an Iu user plane of the radio access controlling means.

The user plane and the control plane may be connected to separate logical and even separate physical network elements.

With a distributed architecture in which the Iu control plane and the Iu user plane terminate in different network elements, the invention provides the possibility to relocate only the user plane connection (for example when the MS uses cells that are not easily connected to the used RNC).

Specifically the advantages of relocating only the user plane are:

Only the user plane path will be optimized in order to have low use of transport resources. Since the amount of data transferred on the user plane is some orders of magnitude higher than the amount of data transferred on the control plane, relocation of the control plane is not necessary.

Relocation of the control plane is a critical process, because the UE-RR state shall be frozen and transferred to the target RNC, and this has to be coordinated with Iu and RR (Radio Resource) signalling. Furthermore the relocation of

the control plane would require extra signaling in air and Iu interface which is advantageously avoided.

If the RNGW should be, for any reason, overloaded, and cannot handle anymore all the user plane traffic (this can result from hardware failure, or from a situation in which the MS using the RNGW has requested a user plane connection that cannot be handled), the user control plane can be switched without switching the control plane.

The invention thus teaches the general idea of transferring the user plane connection, but leaving the control plane connection intact. This idea can preferably be implemented with reference to the Iu interface.

The relocation of only the user plane enhances the flexibility and scalability of the IP based distributed radio access network.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows an embodiment of a system structured and functioning in accordance with the present invention;

Fig. 2 illustrates an embodiment of a method and system in accordance with the present invention.

DESCRIPTION OF PREFERRED EMBODIMENTS OF THE INVENTION

Fig. 1 shows an embodiment of the invention which includes one or more mobile stations (MSs) 1 being attachable to a communication network which comprises a control plane 2 and a separate user plane 3. The term mobile station as used here

includes all types of mobile elements such as portable computers with data and/or voice transmission/receipt capability, mobile phones, portable user equipments and the like.

5

The control plane 2 comprises a Radio Access Server (RAS) 4 for signalling control of the connection and attachment of MS 1 to the network. The Iu control plane thus terminates in the Radio Access Server (RAS) 4. The control plane 2 furthermore
10 comprises a server (CRRM server) 5 for common radio resource management.

The user plane 3 comprises one or more base stations 6, a RAN (Radio Access Network) Gateway (GW) 7 for Iu connection to a
15 circuit switched (CS) network (not shown), as well as a RAN GW 8 for connection to a Packet Switched (PS) network (not shown). The RAN GW 8 provides an Iu connection to the Packet Switched (PS) network.

20 Figure 2 shows a process flow and structure for performing a relocation procedure by relocating only the user plane connection (Iu user traffic connection) but maintaining the control plane connection unchanged.

25 As shown in Figure 2, when a mobile station 1 possibly including a User Equipment (UE) is to be relocated e.g. because of movement of the MS 1, a Radio Network Controller RNC 11 (which may be a base station controller BSC or the like), sends a message to a Core Network (CN) 12 which
30 message includes the new transport address for user plane traffic between MS 1 and the network. The CN 12 registers this new transport address for the user plane traffic of MS 1 and subsequently uses this new transport address for user traffic from and to MS 1.

35

The CN 12 may return a response message to the RNC 11 for informing them on acceptance of the new transport address (accept response).

- 5 The Iu connection of the signalling connection between MS 1 and RAS 4 is left unchanged as shown in Figure 2 so that the connection to the control plane is maintained as before.

- The invention provides several alternatives for relocating
10 only the Iu user plane while keeping the Iu control plane termination unchanged.

- One solution is to modify the current RANAP protocol in order to achieve this effect. This solution gives the RAN the
15 possibility to decide on changing the transport address that terminates the Iu user plane interface.

- The modification of the existing protocol can be done in several possible ways (with the first way being preferred):
20

- A first way is shown in Fig. 2 as described above, and consists in providing a new procedure (e.g. termed 'Intra RNS Relocation'). This procedure is for example initiated by the RNC 11 with a message to the relevant CN 12 domain, that
25 includes the new transport addresses to be used for the user plane flows of one specific mobile station 1. The procedure is completed with a response message from the CN 12 to the RNC 11 (other messages may be provided).

- 30 As a second way, the current set of elementary procedures defining the RNS Relocation as defined in 3GPP Specifications may be modified in order to include the 'Intra RNS relocation' as a particular case. This means that in the SRNS Relocation Request message the 'Target RNC ID' is not
35 specified, and the message contains the information that the

control plane address shall not be changed. The procedure then is executed as in the normal case. Thus, an SRNS Relocation Request message sent during RNS Relocation procedure contains information indicating that the control
5 plane address shall not be changed. This information in the message may e.g. consist of one of the following alternatives: The message does not contain a field with target ID. Alternatively, the information may be a field indicating: target ID = Source ID. The information may also
10 be an explicit parameter indicating "do not change Control plane".

Further, a procedure for RAB Reconfiguration Request may be used. This procedure may indicate from the RNS to the CN that
15 there is a need to reconfigure the QoS characteristics of an existing RAB. This procedure may be used to indicate only the need to change the IP address (possibly also GTP TEID = GPRS Tunnel Endpoint Identifier) in the DL (downlink) direction. The CN may then run the RAB Assignment procedure, again to
20 only change DL IP address (possibly also GTP TEID), to switch the DL connection for U-Plane, while the C-Plane connection remains.

When implementing these changes, the effect is to relocate
25 the user plane only, thus enhancing the flexibility and scalability of the IP based distributed radio access network.

The invention provides the possibility for a radio access network to anchor the control plane connection to the CN, and
30 relocate only the user plane. This is possible because of the separation between user plane and control plane on the Iu interface.

The Iu user plane relocation is a beneficial feature in IP
35 RAN products.

The relocating of the user plane of the CN-RAN connection can be provided for a 3G network and also in networks of various other types, e.g. in IM, GPRS and UMTS domains.

5

Although the invention has been described above with reference to specific embodiments, the scope of protection of the invention intends to also cover all modifications, omissions, additions and amendments of the disclosed features.

10

CLAIMS

5 1. Method for managing a connection of a mobile element to a network, the mobile element having at least one user plane connection for transmitting user traffic from and/or to the mobile element, and at least one control plane connection for signaling connection of the mobile element to a radio
10 access network, wherein, when a relocation of the connection(s) of the mobile element is to be performed, only the user plane connection(s) is relocated, and the control plane connection(s) is maintained unchanged.

15 2. Method according to claim 1, wherein the connections are Iu interface connections.

 3. Method according to claim 1 or 2, wherein the control plane is to an Iu control plane of a radio access controlling
20 means, and the user plane is an Iu user plane of the radio access controlling means.

 4. Method according to any one of the preceding claims, wherein the user plane and the control plane are connected to
25 separate logical elements.

 5. Method according to any one of the preceding claims, wherein the user plane and the control plane are connected to separate physical network elements.
30

 6. Method according to any one of the preceding claims, wherein the radio access network is an IP-based access network.

35 7. Method according to any one of the preceding claims,

wherein a user plane connection relocation procedure is initiated by a control element sending a message to a Core Network (CN) domain, the message including at least one new transport address to be used for the user plane traffic of
5 the mobile element.

8. Method according to claim 7, wherein the procedure is completed with a response message from the Core Network to the control element.

10

9. Method according to any one of claims 1 to 6, wherein an SRNS Relocation Request message sent during RNS Relocation procedure contains information indicating that the control plane address shall not be changed.

15

10. Method according to any one of claims 1 to 6, wherein a procedure for RAB Reconfiguration is used wherein a control means (RNS) indicates to the Core Network that there is a need to reconfigure the QoS characteristics of an
20 existing Radio Access Bearer (RAB), wherein the procedure indicates the need to change the IP address in the downlink direction, the Core Network then performing a RAB Assignment procedure to change only the downlink IP address for switching the downlink connection for the user plane, while
25 the control plane connection is maintained.

11. Method according to claim 10, wherein the procedure additionally changes the downlink GTP TEID (GPRS Tunnel Endpoint Identifier).

30

12. System for managing a connection of a mobile element to a network, the mobile element being adapted to have, when connected to the network, at least one user plane connection for transmitting user traffic from and/or to the mobile
35 element, and at least one control plane connection for

signaling connection of the mobile element to a radio access network, wherein the system is adapted to relocate, when a relocation of the connection(s) of the mobile element is to be performed, only the user plane connection(s), and to
5 maintain the control plane connection(s) unchanged.

13. System according to claim 12, wherein the connections are Iu interface connections.

10 14. System according to claim 12 or 13, wherein the control plane is to an Iu control plane of a radio access controlling means, and the user plane is an Iu user plane of the radio access controlling means.

15 15. System according to any one of the preceding system claims, wherein the user plane and the control plane are connected to separate logical elements.

20 16. System according to any one of the preceding system claims, wherein the user plane and the control plane are connected to separate physical network elements.

25 17. System according to any one of the preceding system claims, wherein the radio access network is an IP-based access network.

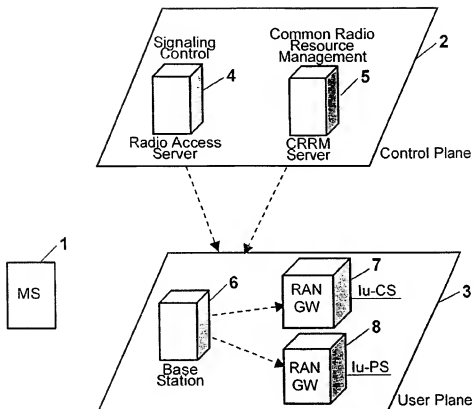
18. System according to any one of the preceding system claims, comprising a control element for initiating a user plane connection relocation procedure by sending a message to
30 a Core Network (CN) domain, the message including at least one new transport address to be used for the user plane traffic of the mobile element.

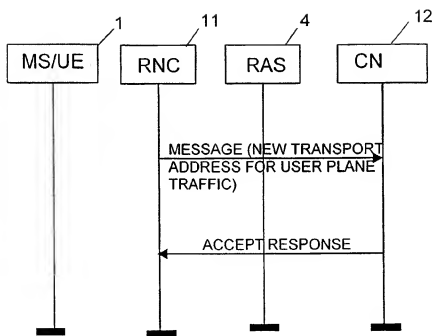
19. System according to claim 16, wherein the procedure
35 is completed with a response message from the Core Network to

the control element.

20. System according to any one of claims 12 to 17,
wherein an SRNS Relocation Request message sent during RNS
5 Relocation procedure contains information indicating that the
control plane address shall not be changed.

21. System according to any one of claims 12 to 17,
comprising a control means for performing a procedure for
10 Radio Access Bearer (RAB) Reconfiguration when a relocation
of the user plane connection(s) is to be performed, wherein
the control means is adapted to indicate to the Core Network
that there is a need to reconfigure the QoS characteristics
of an existing RAB, the procedure being implemented to
15 indicate the need to change the IP address in the downlink
direction, the Core Network being adapted to respond by then
performing a RAB Assignment procedure to change only the
downlink IP address for switching the downlink connection for
the user plane, while the control plane connection is
20 maintained.

**FIG. 1**

**FIG. 2**

INTERNATIONAL SEARCH REPORT

 Application No
 PCT/EP 01/01706

 A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 H04Q7/22

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 00 11878 A (ERICSSON TELEFON AB L M) 2 March 2000 (2000-03-02) page 6, line 18 -page 7, line 15 ---	1-4, 6, 12-15, 17
A	UMTS Protocol Functionalities Matti Turunen Nokia Mobile Phones 1999 XP002176895 page 16 -page 17 page 20 -page 21 --- -/-	1-4, 7, 12-15, 17

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

Z document member of the same patent family

Date of the actual completion of the international search

5 November 2001

Date of mailing of the international search report

16/11/2001

Name and mailing address of the ISA

 European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fax: (+31-70) 340-3016

Authorized officer

Tsapellis, A

INTERNATIONAL SEARCH REPORT

In _____ application No
PCT/EP 01/01706

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	ETSI TS 125401 V3.3.0 (2000-06) Technical Specification Universal Mobile Telecommunications System (UMTS) UTRAN Overall Description (3G TS 25.401 v3.3.0 Release 1999) XP002181964 page 9, paragraph 5 -page 11, paragraph 6 page 18, paragraph 7.2.3.2 -----	1-3,6, 12-14,17
A	ETSI TS 123 009 V 3.2.0(2000-03) Technical Specification Universal Mobile Telecommunications System (UMTS) Handover procedures (3G TS 23.009 v3.2.0 Release 1999) XP002181965 page 51, paragraph 8.3 -page 58, paragraph 8.3.4.2 -----	1-3,6, 12-14,17

INTERNATIONAL SEARCH REPORT

In application No
PCT/EP 01/01706

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 0011878	A	02-03-2000	US 6131030 A 10-10-2000
			AU 5664599 A 14-03-2000
			EP 1145569 A2 17-10-2001
			WO 0011878 A2 02-03-2000